



Amazon's Alexa Prize Socialbot Grand Challenge 4

team Viola (USC)

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- Global competition for conversational AI
- socialbot = engages in a conversation about popular topics
 - i.e., entertainment, sports, politics, technology, and fashion
- Areas of work:
 - knowledge acquisition
 - NLU / NLG
 - context modeling
 - commonsense reasoning
 - dialog planning
- Research grants, Alexa-enabled devices, Amazon Web Services



- Goal (Grand Prize):
 - Build a social bot that users talk to for 20+ minutes for $\frac{2}{3}$ conversations and get an average rating of 4.0+/5
- Last competition's winner: Emory's Emora
 - Average conversation time: about 7+ minutes
 - Average rating: about 3.8
- Where we are:
 - Median conversation time: about 1:51
 - Last 7 days average rating: 3.21 (production) / 3.24 (A/B testing)
 - Ranging from 5th to 8th place out of 9 teams (5 teams are returning teams)

PHASES	STARTS ON:	ENDS ON:
PHASE 1: Participant Application Period	September 9, 2020	October 23, 2020 at 11:59 PM PT
PHASE 2: Sponsor Application Review Period	October 24, 2020	October 30, 2020
PHASE 3: Participant Notification Period/Onboarding	Between October 31 and November 6, 2020	
PHASE 4: Initial Skills Development Period	November 9, 2020	December 18, 2020 at 11:59 PM PT
PHASE 5: Skill Certification Period	December 21, 2020	December 30, 2020
PHASE 6: Internal Amazon Beta Period	January 4, 2021	January 15, 2021
PHASE 7: Initial Feedback Period	January 18, 2021	February 26, 2021
PHASE 8: Quarterfinals Interaction Period	March 2, 2021	April 30, 2021
PHASE 9: Semifinals Interaction Period	May 4, 2021	June 25, 2021
PHASE 10: Additional Feedback Phase	July 5, 2021	July 23, 2021
PHASE 11: Finals Event	July 2021	
WINNERS ANNOUNCED	August 2021	

Pros

- System that will be deployed to real customers
- Large volume of real customer conversations and also real customer feedback/ratings
- Support and resources from Amazon for getting started

Cons

- Primary focus is on engineering & software development for the earlier phases of the competition
- Strict rules
 - e.g. sensitive response generation
- Limits on using the dialogue data collected from the Alexa Prize outside of the competition

Our team:

- Faculty advisor:
 - Prof. Jonathan May
- PhD students
 - Justin Cho
 - Basel Shbita
 - Kushal Chawla
- Master's students
 - Suji Kim
 - Wonhyuk Jang
 - Kartik Shenoy
 - Shuai Liu
- Undergraduate students
 - Jennifer Lee
 - Ryan Wei



Resources

- AWS
- Cobot (Conversational Bot) SDK
 - Set of tools, libraries and components designed to make developing, training and deploying open-domain or multi-domain conversational agents
- Custom ASR for dialogue + BERT-based punctuation model
- CAPC (Common Alexa Prize Chats) dataset:
 - anonymized common chats (individual dialog turns), aggregated across all Alexa Prize interactions to capture frequently discussed topics

Compute

Interprocess

Messaging

Lambda

SNS

AWS

Fargate

Amazon

SOS

- Topical Chat dataset
 - dialog dataset grounded in topical knowledge with baseline response generator models (state-of-the-art performance on the dataset)
- Other annotations
 - transcriptions of freeform user feedback at the end of conversations with the team's socialbot





Database

Amazon Amazor DynamoDB Aurora

Orchestration



Analytics

Storage

Amazor Amazon Kinesis Athena

Frameworks, SDKs, Libraries

Developer Tools

AWS Step Functions

Amazon API AWS Gateway AppSync

Amazon S3

Viola bot – overview



Viola bot – overview



Viola bot – Viola Internal KG (VIKG)

- Updated daily
 - Reddit, IMDB, Washington Post
- Cleanup & filtering
 - sensitive comments
 - sensitive topics
- NER (BERT)
- Topic classification





Viola bot – domain specific FSMs

- Currently tackle the domains:
 - Movies, Music, Sports
- Pre-defined templates
- Integration with VIKG



Viola bot – Spolin bot

- Models improvisational dialogue between two actors
- Fine-tuned DialoGPT model
 - with corpus of "yes, and" dialogue pairs



Challenges

- Speech-based conversation different from text-based conversations
- FSMs don't scale well
- Knowledge-grounded response generation
- Consistency
- Frequent topic-switching
 - e.g. "what movie do you like?" → "harry potter" → "cool! I like that movie too. do you like going outdoors?"

Plans and Ideas

- Continuous learning
 - Retrain DialoGPT-Alexa bot with 4.0+ rated conversations (already 5,000+ conversations)
- Approach by Korean chatbot Iruda
- Task-oriented dialogue approach for personalization by slot filling of user information
- Knowledge graph traversals
 - stay on topic/in context
- Commonsense
 - ConceptNet

