



Automated Generation of Control Concepts Annotation Rules Using Inductive Logic Programming

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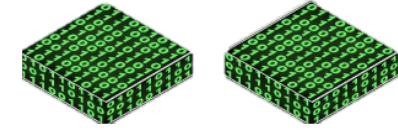
*As part of the
Graphical Symbol Expression Network (GraSEN) project
DARPA's Recovery of Symbolic Mathematics from Code (ReMath) program*

Intro

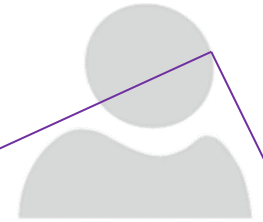
- Cyber-Physical Systems (CPS)
 - **Physical systems** in which mechanism is controlled by **computer-based algorithms**
 - Include micro-controller **software**
 - Control theory connects **applied math** to **CPS engineering**
 - Primitive and higher-level (composite) mathematical **concepts**
 - Constant, gain, saturation
 - Output signal, error signal
 - PID controller

x86 platform
binary

ARM platform
binary

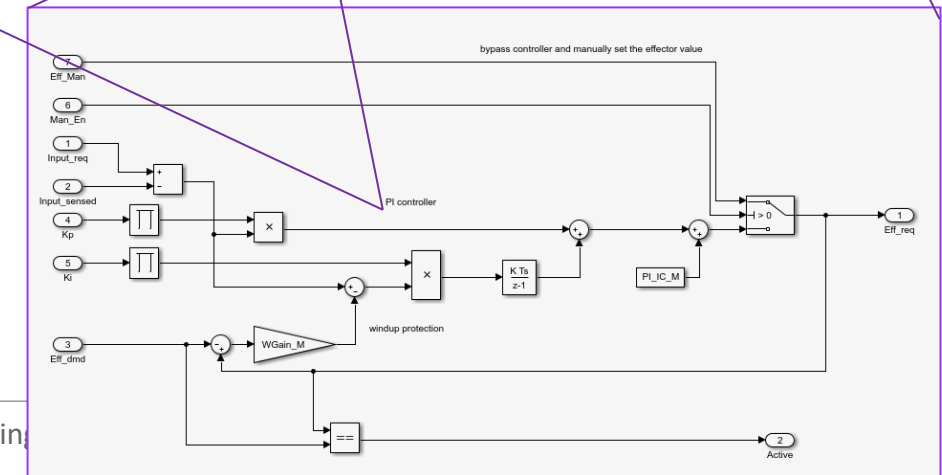


Engine



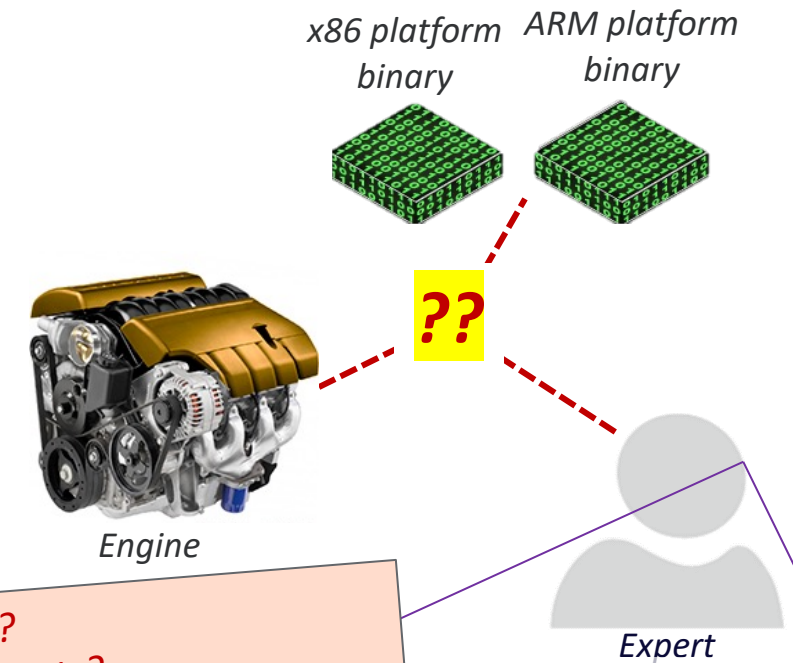
Expert

$$u(t) = K_p e(t) + K_i \int_{\tau=0}^t e(\tau) d\tau$$



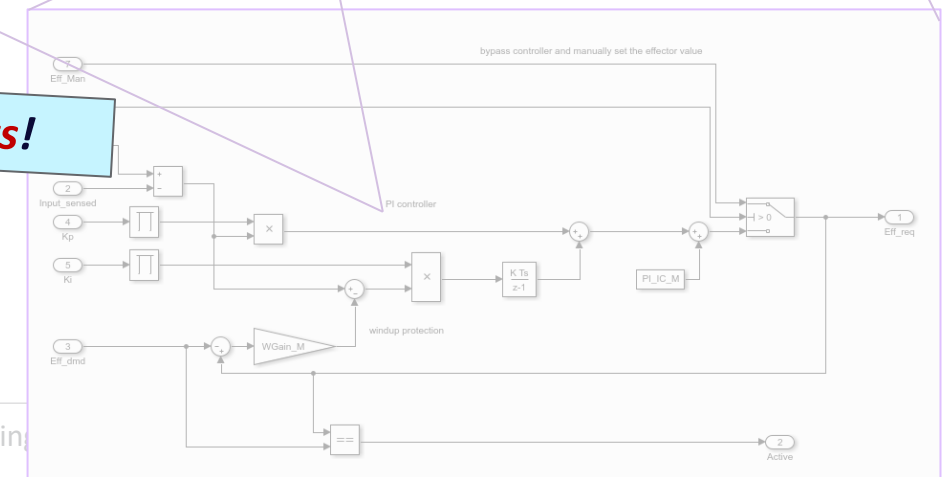
Intro

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- How can we **reverse engineer** a legacy product?
- How can we **route relevant parts** of the software to experts?
- How can we **recover mathematical structures** implemented in software?

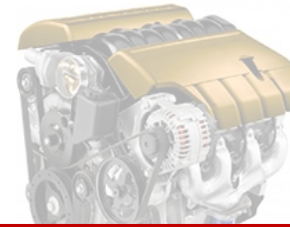
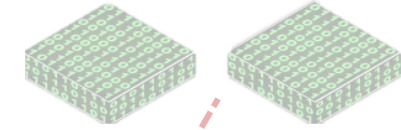
Requires fully manual analysis by highly specialized experts!



Intro

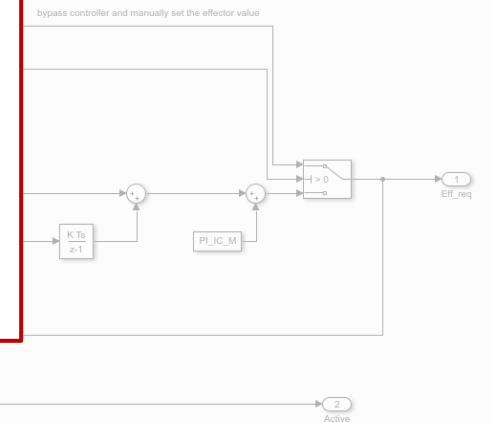
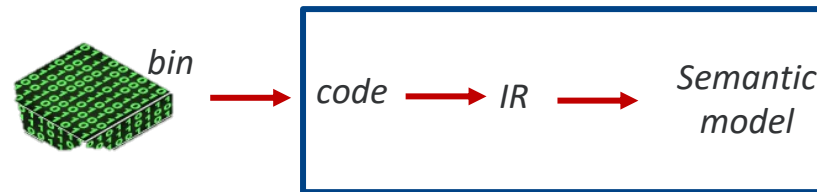
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 - **Physical systems** in which mechanism is controlled by **computer-based algorithms**
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x86 platform binary ARM platform binary



Expert

- Bridging the gap between **binary code analysts** & **domain SMEs**
- Binary → code analysis framework → Expert
- How?
 - binary decompilation
 - semantic code pattern analysis



Goal

```
8 double PI_calc(double Input_dmd, double Input_sensed, double Kp_M, double Ki_M, double timestep)
9 {
10     double error = Input_dmd - Input_sensed;
11
12     integrator_state = integrator_state + timestep*error;
13
14     return error*Kp_M + integrator_state*Ki_M;
15 }
```

Decompiled C file

```
GrFNEtractionModel.sadl
1 uri "http://sadl.org/GrFNEtractionModel.sadl" alias grfnem.
2
3 Node is a class
4   described by uid with a single value of type string
5   described by description with a single value of type string
6   described by identifier with a single value of type string
7   described by metadata with a single value of type Metadata
8
9
10 GrFN is a type of Node
11   described by date_created with a single value of type date
12   described by functions with values of type Function
13   described by hyper_edges with values of type HyperEdge
14   described by subgraphs with values of type SubGraph
```

Pre-defined semantic model

SADL

Semantic Model

```
GE_simple_PI_controller_combined.sadl
1 uri "http://aske.ge.com/GE_simple_PI_controller_combined" alias ali
2 (note "This ontology was created by extraction from GrFN")
3
4 import "http://sadl.org/GrFNEtractionModel.sadl" as grfnem.
5
6
7 // Individuals:
8 _00d5149c-d6fd-b1ec-56d2-84b41e9d26b9 is a grfnem:Variable,
9   has grfnem:metadata (a grfnem:Metadata
10     with grfnem:line_begin 48,
11     with grfnem:from_source true),
12
13 GE_simple_PI_controller_combined.owl
14
15 <?xml version='1.0'?>
16 <?rdf xmlns:rdf="http://www.w3.org/1999/02/22-rdf-syntax-ns#"
17       xmlns:rdfs="http://www.w3.org/2000/01/rdf-schema#"
18       xmlns:grfnem="http://aske.ge.com/GE_simple_PI_controller_combined"
19       xmlns:grfnem_1="http://sadl.org/GrFNEtractionModel.sadl"?>
20 <grfnem:Type rdf:ID="c352a5d2-329c-d119-792f-1a08d6325148">
21 <grfnem:subgraphs>
22 <grfnem:SubGraph rdf:ID="c63bcc0d-891b-9335-4a4b-9030954c5158">
23 <grfnem:nodes>
24 <grfnem:ExpressionTree rdf:ID="ff9e652f-372f-e2ba-e68e-91669f27">
25 <grfnem:nodes>
26 <grfnem:ExpNode rdf:ID="_81ab2dcb-3710-0dfe-842c-8a5ee8bccb">
27 <grfnem:exp_node_type>DEFINITION</grfnem:exp_node_type>
28 </grfnem:ExpNode>
29 </grfnem:nodes>
30 <grfnem:nodes>
31 <grfnem:ExpNode rdf:ID="_1fa58a74-8ceb-0435-7f38-388b1f924e">
32 <grfnem:node_value>0.0</grfnem:node_value>
33 <grfnem:exp_node_type>VALUE</grfnem:exp_node_type>
34 </grfnem:ExpNode>
35 </grfnem:nodes>
36 </grfnem:SubGraph>
37 </grfnem:subgraphs>
38 </grfnem:Type>
39 </rdf:RDF>
```

SADL

OWL

Generate Annotation Rules!
How?
UI, Data transformation, &
Inductive Logic Programming!

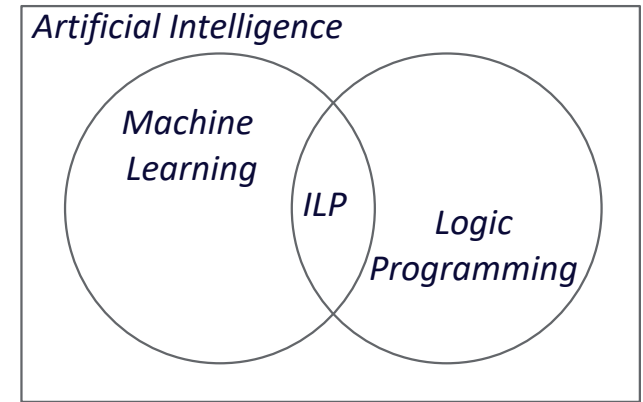
Expert

How can we leverage the semantic model for domain-
knowledge acquisition & discovery to assist the SME?



Inductive Logic Programming (ILP)

- ILP
 - Advantages:
 - Requires **small** amounts of **data**
 - Uses expressive **FOL** (First-Order Logic)
 - Takes **background knowledge** into account
 - Used for **classification** & prediction
 - Bioinformatics, NLP
 - **Given background knowledge (B) & specific observations (E+, E-)**
induce general rules (hypothesis, H)
 - *Aleph*, an ILP system
 - **A** Learning Engine for **P**roposing **H**ypotheses
 - Learning from entailments (LFE)



$$B = \left\{ \begin{array}{l} \text{builder}(\text{alice}). \\ \text{builder}(\text{bob}). \\ \text{enjoys_lego}(\text{alice}). \\ \text{enjoys_lego}(\text{claire}). \end{array} \right\}$$

$$E^+ = \{ \text{happy}(\text{alice}). \} \quad E^- = \left\{ \begin{array}{l} \text{happy}(\text{bob}). \\ \text{happy}(\text{claire}). \end{array} \right\}$$



$$H = \{ \forall A. \text{builder}(A) \wedge \text{enjoys_lego}(A) \rightarrow \text{happy}(A) \}$$

Problem Definition

- Generate **classification (annotation) rules** for math & control concepts using ILP
 - Input
 - (OWL) data representing basic code elements in a CPS program (e.g., variables, functions, operators)
 - Positive & negative **examples** the objective concept (identified by the SME)
 - Output
 - (Prolog) **program** describing the objective concept (e.g., constants, error signals, PI controller)
- **Iterative** process – if the generated rule identifies false positive → add example & repeat

Decompiled C file

```
8 double PI_calc(double Input_dmd, double Input_sensed, double Kp_M, double Ki_M, double timestep)
9 {
10     double error = Input_dmd - Input_sensed;
11     integrator_state = integrator_state + timestep*error;
12     return error*Kp_M + integrator_state*Ki_M;
13 }
14
15 }
```



Expert

GraSEN
ILP

OWL

```
GE_simple_PI_controller_combined.owl
38 </grfmem:Type>
39 <grfmem:GrFN rdf:ID="c352a5d2-329c-d119-792f-1a08d6325148">
40   <grfmem:subgraphs>
41     <grfmem:SubGraph rdf:ID="c63bcc0d-891b-9335-4a4b-9030954c5158">
42       <grfmem:nodes>
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44           <grfmem:nodes>
45             <grfmem:ExpNode rdf:ID="_81ab2dcb-3710-0dfe-842c-8a5ee8bccb">
46               <grfmem:exp_node_type>DEFINITION</grfmem:exp_node_type>
47             </grfmem:ExpNode>
48           </grfmem:nodes>
49         </grfmem:ExpressionTree>
50       </grfmem:nodes>
51     </grfmem:SubGraph>
52   </grfmem:subgraphs>
53   <grfmem:exp_node_type>VALUE</grfmem:exp_node_type>
54 </grfmem:GrFN>
```

Semantic Model

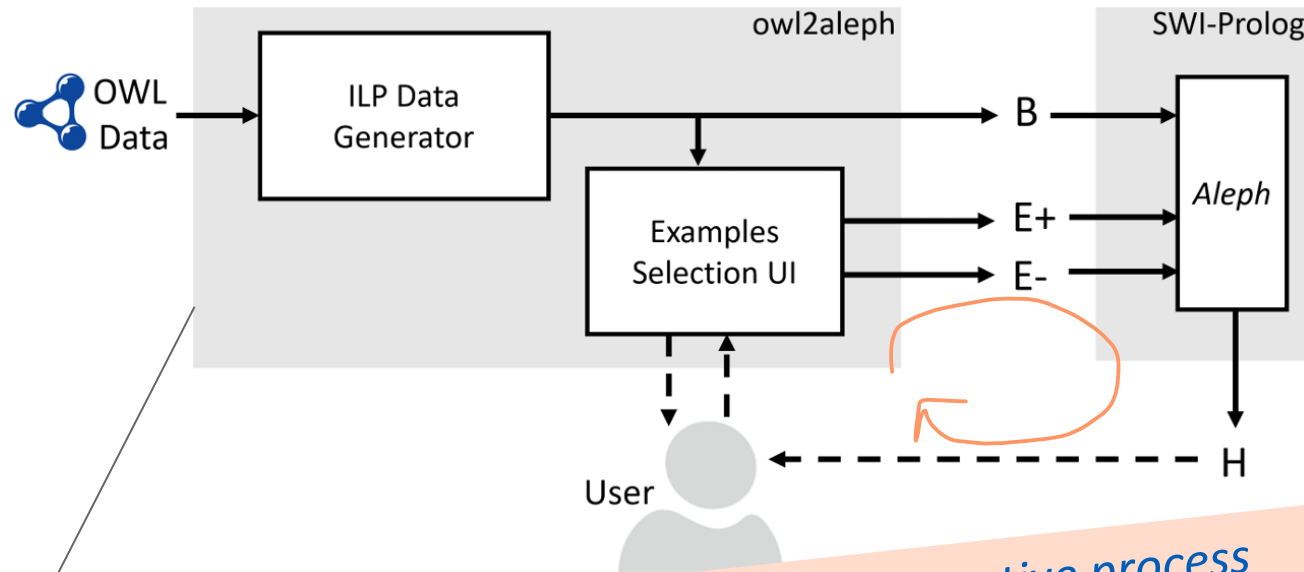
constant(A) :-

outputs(A,B), var_explicit(B), var_assigned_once(B),
xfunction(A,C), func_not_in_loop_block(C).

Prolog program



Approach

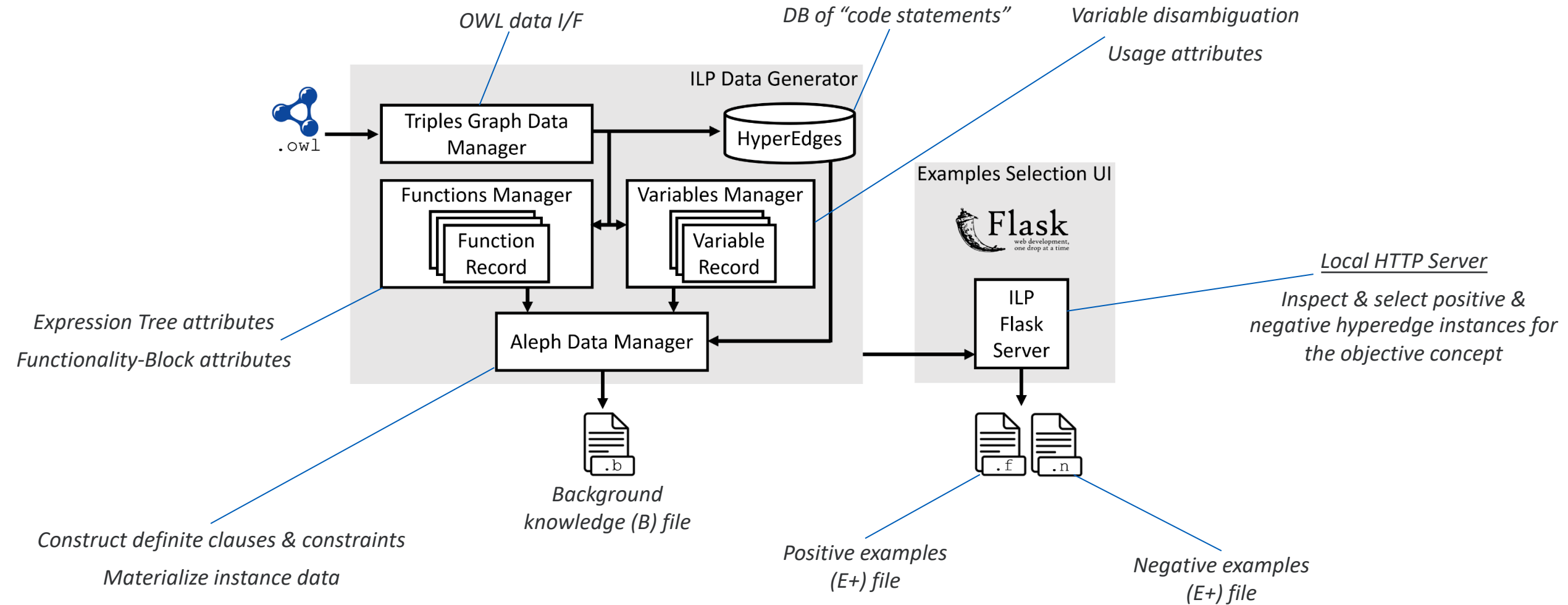


- Pythonic module
- Translates OWL to background knowledge (**B**)
 - Rules & instances
- Invokes an interactive UI
 - Inspect & select positive & negative instances (**E+**, **E-**)

Iterative process
Refine E+/E- & re-run until a satisfactory rule is achieved

- Runs *Aleph*
- Induce a hypothesized clause (**H**)
- Query new positives

Generating the ILP Data (owl2aleph)



Generating the ILP Data (owl2aleph)

```
10 :- modeb(1,newfeature(+hyperedge)).
```

hypothesis definition

```
30 :- modeb(*,outputs_of(+xvariable,-hyperedge)).
31 :- modeb(*,xfunction(+hyperedge,-xnode)).
32 :- modeb(*,has_operator_mult(+xnode)).
33 :- modeb(*,has_operator_ifexpr(+xnode)).
34 :- modeb(*,xinterface(+xnode)).
35 :- modeb(*,var_assigned_once(+xvariable)).
36 :- modeb(*,var_multi_assigned(+xvariable)).
```

signatures of functions

```
50 :- determination(newfeature/1,outputs/2).
51 :- determination(newfeature/1,xliteral/1).
52 :- determination(newfeature/1,xfunction/2).
53 :- determination(newfeature/1,inputs/2).
54 :- determination(newfeature/1,xassign/1).
```

concepts for rules

```
86 hyperedge(xa1f96097abb845469e519fb7f237f9f9).
87 outputs(xa1f96097abb845469e519fb7f237f9f9,variable3).
88 xnode(node2).
89 xassign(node2).
90 has_operator_div(node2).
91 xfunction(xa1f96097abb845469e519fb7f237f9f9,node2).
```

instance data

Construct definite clauses & constraints

Materialize instance data

knowledge (B) file

Positive examples
(E+) file

elements"

Variable disambiguation

Usage attributes

Examples Selection UI



ILP
Flask
Server

Local HTTP Server

Inspect & select positive & negative hyperedge instances for the objective concept



```
1
2
3 newfeature(x22528789304c467f88c2a707bdaf28c1).
4 newfeature(xcf986e40683c402fafaefac6dbcbdfac).
5
6
```

positive/negative hyperedges



Generating the ILP Data (owl2aleph)

```
10 :- modeh(1,newfeature(+hyperedge)).  
11  
30 :-  
31 :-  
32 :-  
33 :-  
34 :-  
35 :-  
36 :-  
50 :-  
51 :-  
52 :-  
53 :-  
54 :-  
86 hyp  
87 out  
88 xno  
89 xas  
90 has  
91 xfu
```

hypothesis definition

GraSEN ILP Sandbox

GE

THE UNIVERSITY OF ARIZONA

DARPA

Clear

Generate Example Files

Positives:

newfeature(xf3407a4281654856a4f1d692e51d58f9).
newfeature(xf899593b6b954b098b7a9fdf8aba0bab).
positive hyperedges

Negatives:

newfeature(xb1b9c84b70824e668b52a527a20f9298).
negative hyperedges

HyperEdge	line start	ftype	lambda	add to...
xf849fbef3f59455c8d9b0aae61a7fe02	16	xassign	lambda timestep,error,integrator_state: ((timestep * error) + integrator_state)	add as positive + -
xfbcab097a142468380f366753096577e	18	xassign	lambda error,Kp_M,Ki_M,integrator_state: ((error * Kp_M) + (Ki_M * integrator_state))	add as negative + -
x80e45762c9184fdca6728b0348263cc0	21	xassign	lambda input,gain: (input * gain)	+ -
x3f03818ce2464beb899eac82f12e0d0d	27	xliteral	lambda : 100.5	+ -

positive/negative hyperedges

Automated Generation of Control Concepts Annotation Rules Using Inductive Logic P

11

Rule Generation from ILP Data (SWI-Prolog)

Bottom (most-specific) clause

- **Aleph**
 - Takes ***{.b, .f, .n}*** files as input
 - Runs **via SWI-Prolog**
 - Outcome is a **classification rule** in domain terms

```
[bottom clause]
newfeature(A) :-
    outputs(A,B), xfunction(A,C), single_output_edge(A), var_explicit(B),
    inputs_of(B,D), outputs_of(B,A), xfunction_of(C,A), xliteral(C),
    func_not_in_loop_block(C), var_assigned_once(B).
```

- The classification rules generated can be:
 - Inspected and used to **query** all positives
 - **Re-generated** with new data
 - New examples (+/-)
 - New knowledge in the form of clauses
 - Manually **refined** & vetted

```
?- newfeature(X).
X = x83c119d8602b4636a7134fb92274fac8 ;
X = xc4f28673610d4ef7b737a51f5d502c09 ;
X = x40160a643a2b48d6a6754a2bd255b948 ;
```

+	-
positive examples	negative examples
double Ki_M = 20.0; double Kp_M = 75.0;	double error = Input_dmd - Input_sensed;
int num_steps = t_final / time_step;	sensed_output = 0.0;

Best (reduced) clause

```
newfeature(A) :-
    xfunction(A,B), xliteral(B).
```

[Training set performance]

	Actual		
	+	-	
Pred	+ 2	0	2
	- 0	1	1
	2	1	3

Accuracy = 1
 [Training set summary] [[2,0,0,1]]
 [time taken] [0.015625]

Best (reduced) clause

```
newfeature(A) :-
    outputs(A,B), var_assigned_once(B), xfunction(A,C),
    func_not_in_loop_block(C).
```

[Training set performance]

	Actual		
	+	-	
Pred	+ 3	0	3
	- 0	2	2
	3	2	5

Accuracy = 1
 [Training set summary] [[3,0,0,2]]
 [time taken] [0.015625]



Evaluation

- Dataset
 - three OWL files
 - 8,974 triples
 - 61 math & control instances
 - 9 different classes of concepts
- Results
 - 7/9: perfect F1 score
 - Small training data
 - Significant reduction in # literals
 - 2/9: low F1
 - not enough data to separate positives from negatives
 - Time complexity is excellent < 1s

Concept	(E+, E-)	Bottom clause size	Reduced clause size	Time [sec-onds]	True positives	False positives	False negatives	Precision	Recall	F1
Difference	(2, 1)	24	3	0.063	4	0	0	1.0	1.0	1.0
Sum	(2, 2)	29	3	0.063	9	0	0	1.0	1.0	1.0
Gain	(2, 1)	23	3	0.047	9	0	0	1.0	1.0	1.0
Division	(2, 2)	24	3	0.094	3	0	0	1.0	1.0	1.0
Constant	(2, 5)	11	6	0.125	23	0	0	1.0	1.0	1.0
Error signal	(2, 5)	24	6	0.859	2	0	0	1.0	1.0	1.0
PI controller	(2, 5)	45	5	0.453	3	0	0	1.0	1.0	1.0
Output signal	(2, 3)	12	12	0.859	3	3	0	0.50	1.0	0.67
Reference signal	(2, 2)	11	11	0.375	3	17	0	0.15	1.0	0.26
Switch	Not enough positives (E+)									
Magnitude saturation										
PID controller										



Evaluation

- Dataset

- three OWL
- 8,974 trip
- 61 math & control instances
 - 9 different classes of concepts

```
gain(A) :-
    xfunction(A,B), has_operator_mult(B).
```

```
constant(A) :-
    outputs(A,B), var_explicit(B), var_assigned_once(B),
    xfunction(A,C), func_not_in_loop_block(C).
```

- Results

- 7/9: perfect F1 score
 - Small training data
 - Significant reduction in # literals
- 2/9: low F1
 - not enough data to
 - positives from nega
- Time complexity is

```
picontroller(A) :-
    outputs(A,B), var_implicit(B),
    xfunction(A,C), has_operator_add(C).
```

Concept	(E+, E-)	Bottom clause size	Reduced clause size	Time [sec- onds]	True posi- tives	False posi- tives	False nega- tives	Precision	Recall	F1
Difference	(2, 1)	24	3	0.063	4	0	0	1.0	1.0	1.0
				0.063	9	0	0	1.0	1.0	1.0
				0.047	9	0	0	1.0	1.0	1.0
										1.0
										1.0
										1.0
										1.0
Output signal	(2, 3)	12	12	0.859	3	3	0	0.50	1.0	0.67
Reference signal	(2, 2)	11	11	0.375	3	17	0	0.15	1.0	0.26
Switch	Not enough positives (E+)									
Magnitude saturation										



Discussion

- Platform is **feasible** & effective in terms of time, completeness & robustness
 - Results are **satisfying** (simple & composite concepts)
 - Quality of ILP generated rules **depends on supplied input**
- Still, many **challenges** exist:
 - Local vs. global variables (structs, enumerations, etc...)
 - In-line code (implicit vs. explicit)
 - Pointers
 - Implementation “style” (e.g., saturation: if/else vs. arithmetic)
- Looking forward:
 - Rules can be **folded** back into the semantic model
 - Learn multiple level of knowledge
 - Use **probabilistic soft-logic** on instance data to introduce confidence
 - **Joint-classification**
 - Incorporate higher level (or system) knowledge (e.g., there is a single integrator in the code)
 - **Augmentation**
 - Layout features
 - Memory allocations



Conclusion

- An automated platform for generating **classification rules** for **math & control concepts**
 - SME **not required** to know **language formalism** to **describe** knowledge in **detail**
 - **Fast, iterative** induction with human-in-the-loop
 - **Structured & semantic**
 - Easily **extended & refined**, automatically or manually
 - **Handy** during design/engineering
 - Incorporate **feedback**
 - Generate **explanations**

